

Appendix 2 - Key points from the ETM discussion of strategic risks – 19 April 2021

Strategic risks are subject to a monthly review by the Executive Team and were last discussed at the Executive Team Meeting (ETM) on 19 April 2021, including:

- a summary of all strategic risks, aligned to their respective Academies, and
- a movement log showing additions, closures and changes in score, and those risks which had passed their review date.

Key points from the ETM discussion of strategic risks were as follows:

- There were three new risks:
 - 3636 (risk to staff and patient safety due to the Trust healthcare workers not taking the offer of a COVID vaccine and therefore putting patients and colleagues at additional risk). This is scored at 12. ETM agreed that there was a need to include additional information regarding the mitigating actions being taken, therefore this will be reviewed and further details will be added.
 - There were also two new finance risks relating to insufficient cash and liquidity resources and failure to maintain financial stability and sustainability. These were risks which had previously existed, and had been closed down and opened as new risks due to the start of a new financial year. Both risks are scored at 6. It was noted that there were some references to 2020 which will need to be updated.
- There was also a request to escalate a divisional risk to the strategic risk register:
 - 3637 (risk that unplanned admissions, that require aerosol generating procedures (AGPs) may not always be accommodated in side rooms, leading to a risk from the transmission of undiagnosed COVID-19 infection). This is scored at 15. It was requested to be escalated following a review of the risk assessment. ETM agreed that it was appropriate to include this risk on the SRR due to the risk score and potential impact if this risk is not managed appropriately.
- One risk had changed in score:
 - 3560 (risk that we will be unable to safely staff the organisation due to the impact of 'test and trace'). The score had **reduced** from 12 to 9. ETM considered whether the score should be reduced further, and potentially removed from the SRR given the low level of absences attributable to test and trace. It was agreed that the People Academy would be asked to consider this further.
- Further to a discussion at ETM on 12 April, one risk (3585) had been reviewed and updated to reflect the risk of harm to patients as a result of long elective waits post-Covid.
- The two finance risks had been closed, but opened as new risks, as noted above.

- Three risks had passed their review date. It was noted that two of the risks, which related to Estates & Facilities, had already been reviewed therefore there was a need to ensure that the review date was amended on Datix. The remaining risk would be reviewed as soon as possible.